Attorney Docket No.: LWM-A072



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Affatooni, et al. Serial No.:09/997,982 Examiner: Petkovsek, D. Filed: 11/29/2001 Art Unit: 2874 For: A PLANAR LIGHTWAVE CIRCUIT HAVING AN INTEGRATED DISPERSION COMPENSATE FOURIER FILTER Commissioner for Patents P.O. Box 1450 AMENDMENT TRANSMITTAL 1. Transmitted herewith is an amendment for this application X Transmitted herewith is a response to an office action for the above identified patent application (4 sheets) Transmitted herewith are sheets of amended informal drawings. Other: 2. Applicant is other than a small entity Extension of Term 3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:) Extension Fee [] one month	rial No.:09/997,982 Examiner: Petkovsek, D. ad: 11/29/2001 Art Unit: 2874 r: A PLANAR LIGHTWAVE CIRCUIT HAVING AN INTEGRATED DISPERSION COMPENSA URIER FILTER Immissioner for Patents D. Box 1450 exandria, VA 22313-1450 AMENDMENT TRANSMITTAL Transmitted herewith is an amendment for this application Transmitted herewith is a response to an office action for the above identified patent application (4sheets) Transmitted herewith aresheets of amended informal drawings. Other:
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Attorney Docket No.: LWM-A072

Fee Calculation

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(for other than a small entity)							
Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid For	Present Extra Claims	Fee Rate	Total		
Total Claims	18	- 18 =	0	x \$18.00	\$0.00		
Independent Claims	3	- 3 =	0	x \$84.00	\$0.00		
Multiple Dependent Claim Fee (one or more, first added by this amendment) \$260.00							
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PAYMENT OF FEES

- 5. The full fee due in connection with this communication is provided as follows:
- [x] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.
 A <u>duplicate copy</u> of this authorization is enclosed.
- [X] A check in the amount of \$ 410.00
- [] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP

Two North Market Street, Third Floor San Jose, California 95113 (408) 938-9060

Respectfully submitted,

Date: $75 / v/_{7} > 0$

Glenn D. Barnes Reg. No. 42,293



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Aflatooni, et al.

Serial No.: 09/997,982 Examiner: Petkovsek,

D.

Filed : 11/29/01 Group Art Unit: 2874

For : <u>A PLANAR LIGHTWAVE CIRCUIT HAVING AN INTEGRATED</u>

DISPERSION COMPENSATOR USING A FOURIER FILTER

RESPONSE TO OFFICE ACTION

Assistant Commissioner for Patents & Trademarks Washington, D.C. 20231

Sir:

In response to the Office Action mailed on 2/25/03, please consider the following remarks:

REMARKS

Claims 1-18 remain in the present application. Reconsideration of this application is respectfully requested in light of the remarks below.

35 U.S.C. Section 103 Rejections

Paragraphs 3 and 4 of the above referenced Office Action states that independent Claims 1 and 13 are rejected under 35 U.S.C. Section 103(a)

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Examiner: Petkovsek, D.

Art Unit: 2874